

JUDGE STEPHEN B. RUBERY UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

08 CV 00854

CATHERINE RUBERY, Derivatively on  
Behalf of AMBAC FINANCIAL GROUP,  
INC.,

Plaintiff,

vs.

MICHAEL A. CALLEN, JOHN W. UHLEIN,  
III, KEVIN J. DOYLE, GREGG L.  
BIENSTOCK, THOMAS J. GANDOLFO,  
ROBERT G. SHOBACK, DOUGLAS C.  
RENFIELD-MILLER, DAVID W. WALLIS,  
WILLIAM T. MCKINNON, SEAN T.  
LEONARD, JILL M. CONSIDINE, LAURA  
S. UNGER, THOMAS C. THEOBALD,  
HENRY D.G. WALLACE, PHILIP DUFF,  
PHILIP B. LASSITER, ROBERT J.  
GENADER, KATHLEEN A. MCDONOUGH  
and W. GRANT GREGORY,

Defendants,

- and -

AMBAC FINANCIAL GROUP, INC., a  
Delaware corporation,

Nominal Defendant.

X

Civil Action No.

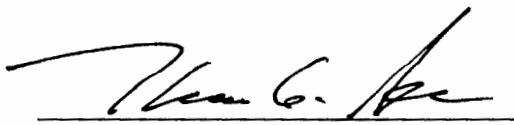
**RULE 7.1 STATEMENT**



Pursuant to Federal Rule of Civil Procedure 7.1 [formerly Local General Rule 1.9] and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for Plaintiff Catherine Rubery, Derivatively on Behalf of Ambac Financial Group, Inc., (a private non-governmental party) certifies that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held:

No corporate parent  
No publicly held affiliates or subsidiaries

Dated: January 23, 2008

  
Thomas G. Amon

Attorney Bar Code: TGA-1515